

Reasons for Early Failure in Medial Unicompartmental Arthroplasty. Radiographic Analysis on the Importance of Joint Line Restoration

Keywords: UKA; Knee; Joint line; Alignment; Failure mechanism

Abstract

Background: Survivorship of Unicompartmental Knee Arthroplasty (UKA) remains a drawback, especially compared to the outcome of Total knee Arthroplasty (TKA). However, this could be improved by identifying and correcting failure mechanisms. To this purpose, this study aims at exploring failure modalities of UKA, with particular focus on the role of Joint Line (JL) position and alignment as variable to be optimized for a successful outcome

Material & methods: This study explores modes of failure in 266 medial UKAs, by analyzing the correlation between changes in the obtained alignment and the ideal JL position. In detail, a radiological comparison was performed between 24 failures and 24 matched controls, to determine the importance of UKA positioning in terms of femoro-tibial angle (FTA), tibial plateau angle (TPA), and posterior tibial slope (PTS).

Results: Failure occurred for subsidence of the tibial component in two knees, unexplained pain in seven patients, aseptic loosening of the tibial component in eight, aseptic loosening of the femoral component in three, medial tibial fracture in one, and overall osteoarthritis progression in three. The radiographic analysis showed that statistically significant differences could be found in the failure group in terms of higher variation of FTA, PTS, and JL height with respect to the control group.

Conclusion: A successful outcome after UKA is determined by a correct alignment in all planes, as demonstrated by the failures analyzed in our series: not appropriate coronal alignment, distal JL line positioning, and abnormal PTS were observed and correlated with the failed cases. Thus, based on the results of this study, it could be recommended that the JL position should be carefully controlled while implanting a UKA not only with regard to the coronal plane: in fact, attention should be paid on the implant component positioning in all planes.

Introduction

In recent years unicompartmental knee replacement (UKA) has come forth as a plausible alternative to total knee Arthroplasty (TKA) for specific patient categories [1-5]. This success of surgical option has been favoured by increasing awareness on the importance of proper selection criteria, as well as by advances in prosthesis design and surgical technique. Compared to TKA, UKA is less traumatic, conserves more bone stock and preserves native knee kinematics; resulting in earlier convalescence and better subjective outcome [6-8]. These advantages have expanded its indications to include primary osteonecrosis, younger and more active populations [9-11]. And have also inspired research in bicondylar UKA replacement.



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However, unexplained UKA failures [12-24], presenting as aseptic component loosening, polyethylene wear, and antero-medial pain, suggest the existence of not yet well identified parameters that, once addressed, could help to further improve the results, which currently present a slightly poorer long-term survivorship in comparison to TKA [25,26]. This represents a sizable population of patients, whose failure cannot be attributed to infection, progression of osteoarthritis, tibial plateau fracture, instability, and metabolic diseases [12-24]. A variety of factors may play a role in these failures. Patient selection, [18,27] implant design, [22,28] and surgical technique [18,21,22,27,29] have been considered among the factors playing a major role in earlier publications [21,28,29]. However, failures have been reported also in cases presenting optimal characteristics in terms of demographic parameter and postoperative alignment [12,13,15,17]. Earlier failure rate unrelated to change in coronal alignment was reported to range from 3.6% to 28.6%: with either unexplained femoral [12,15,17] or tibial loosening [13].

Among the failure mechanisms that should be explored to understand the high failure rate still attributed to unknown factors, prosthetic component positioning deserves further attention. In fact, success may be not only related to the coronal alignment, but could also depend on the appropriateness of JL restoration in terms of UKA positioning in different planes.

Thus, the aim of this study was to evaluate, in a large cohort of patients, how changes in terms of JL level restoration in the different planes may determine failures in UKA.

Materials and Methods

The study cohort consisted of 246 patients (men/women: 87/159), who underwent 266 medial UKAs. UKAs were implanted in 187 patients (70.3 %) for osteoarthritis isolated to medial compartment and in 79 (29.7 %) for primary osteonecrosis of medial femoral condyle.

Selection criteria were: patients more than 50 years old, involved in low-demand activity, with a BMI less than 35 kg/m², a knee ROM of at least 90 degrees, less than 10 degrees of fixed flexion deformity, without clinical cruciate ligament instability and major angular deformities [30]. If the patient was involved in a high-demand activity, UKA was offered only if such activity could be curtailed after surgery. Inflammatory arthritis, secondary spontaneous osteonecrosis of the knee (SPONK) or involvement of other compartments were considered as contraindication for the procedure [9,30-32].

Preoperatively, all patients had weight bearing antero-posterior and lateral radiographs of the knee [33]. The UKA's were all performed by three senior surgeons (MM, SZ, FI) through a minimally invasive, quadriceps-sparing surgical exposure [34-36], using a surgical technique recommended by the manufacturer, to implant the cemented femoral and all-polyethylene tibial components (Preservation[®] Uni-Compartmental Knee; DePuy Orthopaedics Inc, Warsaw, IN, USA) (Figure 1). The size of the all-poly tibia component used was selected and applied in order to restore the presumed pre-pathological varus deformity [37]. As estimated from the contra lateral limb.

Rehabilitation was started on first postoperative day after drain removal and dressing, with static quadriceps exercises and continuous passive motion: 90° of knee flexion achieved over 2 to 3 days, while partial weight-bearing with two crutches was advised for 30 days. After gradual progression as tolerated, full weight-bearing was generally started in 45 days. Quadriceps strengthening by electro-stimulation was done for 2 weeks after suture removal. Thereafter, patients continued unsupervised physiotherapy at home.

Revision was considered as a failure for this study. In this population, UKA failed in 30 patients who complained of unresolved clinical condition within 2 years and underwent a revision at a mean 24.8 months (range 1 – 58 months) after primary surgery. The UKA was revised with primary TKA (PFC[®] Sigma[®] RP; DePuy Orthopaedics Inc) in 24 knees and with a constrained modular design (PFC[®] Sigma[®] TC3; DePuy Orthopaedics Inc) in 6 knees. The constrained modular



Figure 1: Radiographic view of a Medial Unicompartmental Knee Arthroplasty (UKA).

Table 1: Demographic characteristics of failure and control UKA groups.

Parameter	Controls	Failures
Age at Surgery (years)	64±9y	66±5y
BMI (kg/m ²)	29.2	28.7
Gender (M:F)	12 : 12	12 : 12
OA Grading (Ahlback)	4	4
Osteoarthritis	16	14
Osteonecrosis	8	10

design was used if the patient had poor underlying bone, bone defects requiring augmentation, joint instability, septic loosening of the prosthesis and medial tibial fracture. Augments were used in all six patients who underwent revision with constrained modular design. While prosthetic infection was revised in two stages, all other failures were revised in one stage. Among these revised UKA, 24 failures, not related to infection and with both pre-operative and post-operative radiographs, were included in the analysis (among the 6 cases not included in the study, 3 were drop outs lost at follow-up, and 3 were septic failures).

The demographic profile of the failed patients was classified by age (< 60 years, >60 years), gender (men, women), BMI (<30 kg/m², ≥ 30 kg/m²) and pre-operative activity (sedentary, active). According to these criteria, a case control group was selected from the overall population of not failed patients, identifying 24 survivors, at least 2 year follow-up, matching the demographic profile, as well as the etiology of the failure group (Table 1). Since the study did not involve any additional radiographs, interventions, or follow-up, an institutional review board approval or informed consent was not obtained from each patient whose data was acquired and used ensuring anonymity.

An independent observer (GR), expert in this field, blindly evaluated pre- and post-operative radiographs, measuring the femoro-tibial angle (FTA), tibial plateau angle (TPA) and posterior tibial slope (PTS): measurements were performed on digital A-P and lateral radiographs three times, on three different days, using previously reported techniques [38]. (Intra-observer variability, FTA = 0.87, TPA = 0.83, PTS = 0.80). Moreover, since limb alignment and survivorship in a UKA are very sensitive to change in JL [39-41]; a previously validated method, based on the correlation between femoral width and the distance from the femoral adductor tubercle to JL, was used for radiographic JL measurement [42]. Briefly, the JL was assessed by calculating the distance from the adductor tubercle according to the femoral width multiplied by a validated ratio [43].

The magnification of the digital radiograph was set to 40% pixels and the image was changed from black to white, without changing the contrast settings in the proprietary software, to provide the best image quality. Failures and matched control group were compared in terms of pre- post-operative changes in alignment (FTA, TPA, PTS) and JL line position (Figure 2).

Statistical Analysis

For each group, differences between pre-operative and post-operative data were compared with paired Student t-test, after

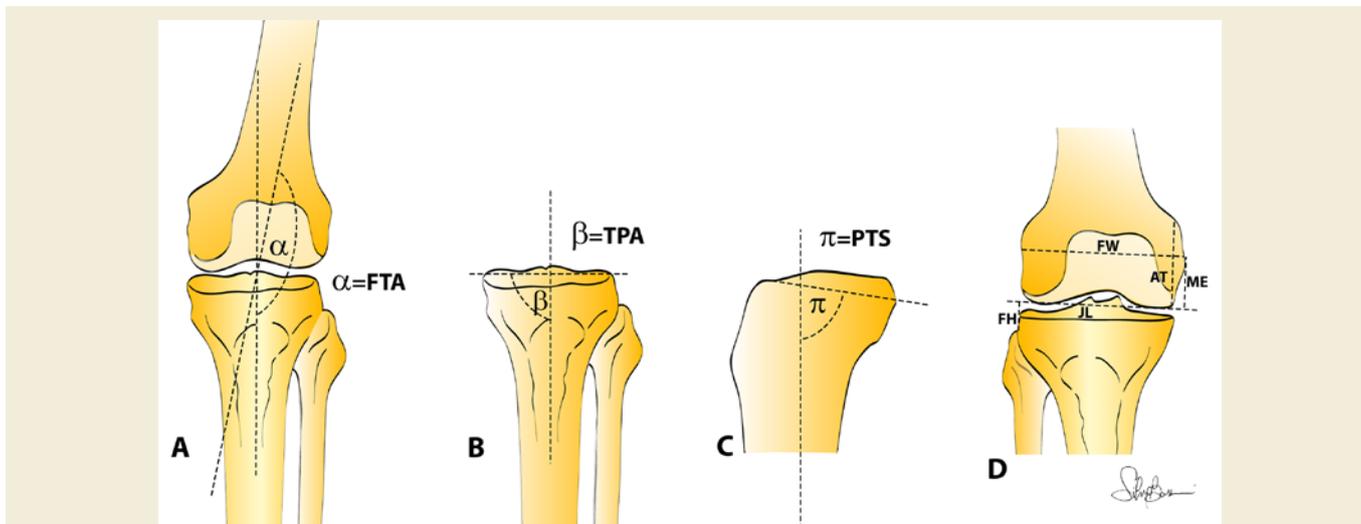


Figure 2: Graphic representation of femorotibial angle (FTA), tibial plateau angle (TPA), posterior tibial slope (PTS), and joint line (JL) height measurements. Radiograph of a failed Unicompartmental Arthroplasty (A) UKA prior to revision; Revision with Primary Total Knee Arthroplasty (B) Short film (C) Panoramic View.

verification of normal distribution, with Sahpiro Wilk W test, of all data for each cohort. Comparison of continuous parameters between cohorts was analyzed with unpaired Student t-test. Comparison of categorical variables, such as sex or etiology, between the two cohorts was done with Chi-square test.

Significance was set at $p=0.05$.

Results

Failure occurred for subsidence of the tibial component in two knees, unexplained pain in seven patients, aseptic loosening of the tibial component in eight, aseptic loosening of the femoral component in three, medial tibial fracture in one, and overall osteoarthritis progression in three.

No difference between the two cohorts in terms of BMI (29.2 ± 3.7 Control; 28.7 ± 2.7 Fail)

Average variation of FTA after surgery was $2.3 \pm 1.7^\circ$ (95% CI 1.5 to 3.0) in the control group and $4.0 \pm 3.2^\circ$ (95% CI 2.6 to 5.4) in the failure group. The difference between groups was statistically significant ($p=0.0222$).

Average variation of TPA after surgery was $2.7 \pm 2.4^\circ$ (95% CI 1.7 to 3.7) in the control group and $3.5 \pm 2.2^\circ$ (95% CI 2.5 to 4.4) in the failure group. The difference between groups was non-significant ($p=n.s.$).

Average variation of PTS after surgery was $1.9 \pm 1.4^\circ$ (95% CI 1.3 to 2.5) in the control group and $4.8 \pm 4.1^\circ$ (95% CI 2.9 to 6.8) in the failure group. The difference between groups was statistically significant ($p=0.0025$).

Average variation of JL height was 1.2 ± 2.7 mm (95% CI 0.1 to 2.4) in the control group and 4.3 ± 3.8 mm (95% CI 2.7 to 6.0) in the failure group. The difference between groups was statistically significant ($p=0.0022$) (Table 2).

Discussion

The results of this study underline the importance of the correct prosthetic components positioning for the success of the implant. JL restoration is a key factor in the explanation of aseptic failures. In particular, success may be not only related to the appropriate coronal alignment, and failures otherwise attributed to unknown factors may be actually due to a failure mechanism based on an incorrect UKA positioning in different planes, as shown by this study.

The current report is a case-control study: 24 aseptic failures were evaluated radiographic to compare the limb alignment and JL position in the different planes with a series of demographically matched UKA controls from the same cohort of patients treated in our Institute by the surgical equip and evaluated at the same follow-up time. Radiographs retrieved in these 48 patients were evaluated for FTA, TPA, PTS, and JL position, and data were analyzed to correlate the prosthetic components positioning with otherwise unexplained UKA failures.

While many parameters have been associated with early failure of UKA, some of these associations are still controversial leaving lot of unexplained failures. Contradictory reports exist on the association between early outcome of UKA and younger age [21], obesity [17,44], polyethylene thickness [28], gender or patellofemoral arthritis [44]. There are suggestions that errors in patient selection and surgical technique lead to early failure and revision in the post-operative period [24]. Existing literature helped identify the ideal indications, contraindications, sterilization protocols, surgical

Table 2: FTA, TPA and PTS radiographic measurements in failure and control UKA groups.

Value	Group	Pre	Post
FTA	FAIL	180.0 ± 4.0	178.6 ± 3.8
	CONTROL	180.4 ± 2.7	178.0 ± 2.7
TPA	FAIL	86.4 ± 2.3	84.3 ± 4.0
	CONTROL	86.7 ± 2.1	84.7 ± 2.6
PTS	FAIL	7.8 ± 4.3	9.6 ± 4.9
	CONTROL	8.0 ± 2.5	8.4 ± 3.5

technique, polyethylene thickness, implant design, bearing surface and cementing techniques for a successful UKA. This led to the current minimally invasive UKA surgery that aims to restore the JL by realigning the limb to a presumed pre-operative alignment. However, there is a significant unexplained early failure after UKA within the first two years after surgery.

In fact, unexplained failures have been already reported also in other studies applying similar patient selection criteria, surgical technique, and implant design. Hamilton et al. identified eight unexplained femoral component loosening in a series of 221 medial UKA's with a 4.1% failure rate at 13.3 month follow-up [15]. Mariani et al. reported a 28.6% failure rate in 39 medial UKA's AT 9-12 month follow-up, all of which were due to femoral loosening [16]. Naal et al. reported a single case of femoral and tibial loosening each in a series of 88 UKA, with a 3.1% failure rate at 2 years [17]. In a metal backed, mobile bearing tibial component variant of the current design, Arastu et al. reported a 21% failure rate due to pain (44%) and tibial loosening (33%), at 22 months follow-up of 43 UKA's [13].

Among the factors involved in the failure mechanism, the coronal alignment has been accounted as key factor with controversial evidence. Some studies reported no difference in post-operative coronal plane between survivors and failures, although it had been overcorrected in both groups [21,23,28], especially at least in the early follow-up [15]. In a previous report evaluating a similar population, coronal plane alignment was correlated to excellent and good outcome on HSS score, but not with failures [36]. On the other hand, in another study an increased PTS was associated with failure of UKA in primary SPONK, [38]. Thus, JL line position should be considered in all planes to properly address its influence on the failure rate. However, up to now, JL has not been extensively explored in the literature to address the importance of its position on different planes, independently from the coronal plane alignment, for the association with early failures in UKA.

The results of our study suggest that change in JL position can affect the outcome of UKA, independently from the change in coronal plane angle. The ideal JL position was derived from a ratio between femoral width and distance from the adductor tubercle. Unlike in the control group (of survivors), the post-operative JL line was 3 mm distal compared to the ideal position in the failure group. Moreover, also the variation of PTS was significantly correlated with the failure group, thus confirming the importance of the appropriate JL restoration in all planes. The JL position of the treated compartment should be controlled intra-operatively with validated methods that to reduce the incidence of failures [45-47].

Beside the significant findings in terms of UKA failure mechanism explanation, this study also presents some limitations. The main limitation is the retrospective design, which also caused the evaluation of some demographic characteristics only as dichotomic variables, and the presence of drop outs. However, this study considers a large survey and the failure group was matched with survivors with similar demographics, surgical procedure and implant, thus allowing to explore differences in the study populations that could be related to otherwise unexplained failures. Other limitations are also related to the methodology to document prosthetic components positioning. While the visualization of the radiograph was standardized, it is

possible that the exposure, hip rotation, position of the x-ray tube and knee flexion could have been variable. Moreover, the rotation of the tibial component has been suggested to influence the final outcome [48,49]. And how this could have contributed to the failure mechanism also in our series has not been evaluated. However, while further studies with a prospective study design and a stronger methodology will better assess how the variation of implant positioning in different planes may determine the final outcome, this study shows that surgeons shouldn't just focus on the coronal alignment.

Alignment has to be considered in all planes, since JL and PTS are also key aspects to determine a successful outcome after UKA.

Conclusions

Variations of FTA but also PTS and JL height have been correlated with the failures observed in our series. Thus, based on the results of this study, it could be recommended that the JL position should be carefully controlled while implanting a UKA not only with regard to the coronal plane: in fact, attention should be paid on the implant component positioning in all planes.

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