

# Differential Emotional State Reasoning in Young and Older Adults: Evidence from Behavioral and Neuroimaging Data

**Keywords:** Age-differences; Empathy; Theory of mind; fMRI; Deficit; Mechanism

## Abstract

The ability to infer the emotions, intentions, and beliefs of others has a self-protecting function in social life but declines with age. Little is known about the cerebral mechanisms underlying this impairment in older adults. We used functional magnetic resonance imaging (fMRI) to map the brain regions associated with an emotional state reasoning paradigm in which subjects were required to infer the emotion of a seen facial expression by choosing one out of four statements describing what might have happened to the depicted person. Behaviorally, empathic reasoning performance correlated inversely with age with the older subjects (42-61 years, n=12) being significantly worse than the young subjects (22-39 years, n=14) in the accuracy of empathic reasoning. fMRI showed that young and older adults recruited similar brain regions but at different time points during empathic reasoning. In the older adults, higher order control areas became engaged early during viewing the target facial expressions, while in the young adults these were first recruited when all necessary information for the decision was present. Our data suggest that older subjects employ an inefficient mechanism leading to impaired empathic reasoning.

Inferring the intentions and emotions of others is fundamental in everyday social interactions. Body language and especially facial expressions have been ascribed key roles in understanding the mind-set of other people by virtue of theory of mind (ToM) and empathy [1,2]. More than all other parts of the body, the human face can produce differentiated movement patterns in rapid succession, thereby providing a powerful tool for communicating social information [1]. However, not all facial cues are equally relevant or profitable for a person. In fact, people are endowed with the capacity to differentiate highly from less relevant social information which allows them securing their own well-being as well as saving cognitive resources [3]. Specifically, happy and angry facial expressions represent highly relevant social messages which have immediate implications on the behavior of the observer (high social impact expressions). On the contrary, sad or fearful expressions (low social impact expressions) tell a lot about the sender but their behavioral consequences are vague because they are vitally not essential for the observer [3,4].

There is accumulating evidence showing that the capacity of reasoning about the intentions or emotions of others declines with increasing age [5-7]. According to a recent meta-analysis this impairment affects all domains (cognitive and affective) and all modalities (verbal, visual-static, visual-dynamic), but there is heterogenic evidence regarding the role of other cognitive functions in explaining the deficits [5,7-9]. Interestingly, however, it was recently shown that the impairment in affective ToM found in older adults was restricted to topics of little relevance for them while



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they performed better than young adults under conditions of high relevance [10].

From a neuroimaging perspective, areas repeatedly implicated in empathy and ToM tasks are located in the anterior, inferior and medial prefrontal cortex [11-15]. The majority of these studies examined only young adults [12,13,15,16]. Recently, however, it was shown that young adults as compared to older adults exhibited stronger activation in a ToM-related region in the lateral medial prefrontal cortex when they were confronted with portraits of stigmatized people. High functioning older adults recruited more strongly inferior prefrontal areas that have been implicated in the control of emotional responses [17]. In addition, there is evidence for a correlation between ToM performance and white matter integrity in young and older adults [18].

Therefore, the goal of the present functional magnetic resonance imaging (fMRI) study was to identify the brain areas underlying the differences between young and older adults in emotional state reasoning. We hypothesized that older adults require more cognitive resources to manage emotional state reasoning as compared to young adults. Specifically, we expected the older adults to show more pronounced activity in lateral and medial prefrontal areas associated with empathy, affective ToM and cognitive control. Also, we hypothesized that young and older adults share regions associated with automatic bottom-up empathy-related processing in the human mirror neuron system (hMNS) like the inferior frontal cortex or the inferior parietal lobule [19]. We expected these regions to correlate with self-reported empathy and explicit facial affect recognition abilities [16,20]. In contrast, higher order top-down modulated areas such as the anterior prefrontal cortex (aPFC) [21], the anterior cingulate cortex (ACC)/paracingulate cortex [22,23] or the superior dorsomedial frontal cortex (SDMFC) [15] we expected to correlate with the subjects' reasoning performance.

## Materials and Methods

### Subjects

Our sample comprised 26 adults. Using a similar approach as Richter and Kunzmann [10], two age groups were formed on the basis

of the median of the continuous age variable resulting in fourteen young (mean age: 28.64 years, SD = 5.68, range: 22-39 years) and twelve older adults (mean age: 49.50 years, SD = 5.99, range: 42-61 years). Groups differed significantly in age ( $F = 82.86$ ,  $p \leq 0.001$ ) but had comparable educational levels (young adults:  $13.79 \pm 2.75$  years; older adults:  $14.00 \pm 3.30$  years;  $F = 0.03$ , n. sig.), neutral face recognition abilities (Benton Facial Recognition Test,  $F = 0.15$ , n.sig.) [24], mood (Beck's Depression Inventory,  $F = 2.12$ , n.sig.) [25], general emotional competence (Toronto Alexithymia Scale,  $F = 0.69$ , n.sig.) [26], self-reported empathy (Saarbrücker Persönlichkeitsfragebogen, available online,  $F = 0.57$ , n. sig.), and facial affect recognition abilities (Difficulty Controlled Emotion Recognition Test - DCERT, a self-programmed adaptation of the Ekman-60-Faces Test using the more recent and standardized Karolinska Directed Emotional Faces,  $F = 1.20$ , n.sig.) [27].

### Stimulation

Facial expressions representing emotional states (Averaged Karolinska Directed Faces, [28]) of high (angry, happy) and low (sad, fearful) social impact were used as stimuli. The degree of emotional expression was adjusted to the difficulty of their identification as found in 61 healthy volunteers using Ekman and Friesen's Pictures of Facial Affect [29,30]. Specifically, happiness as the only positive and easily recognizable emotion was presented at a degree of only 50 % of the maximal expression, while fear as the most difficult expression was shown at 100 %. Following a fixation cross (200 ms) the facial expressions were presented for 1400 ms. Face presentation was followed by the presentation of four sentences (7000 ms) describing situations that might have happened to the person (e.g. "She was threatened by someone." for fear). Each situation was linked to one of four emotional states (happy, angry, sad, fearful) according to ratings of a test sample. Sentences were comparable in length and the order in which they appeared on screen was randomized. For data analysis, the time interval between the facial expressions and the sentences was jittered around the chosen repetition time (TR) of 2000 ms. Participants were instructed to imagine meeting the depicted person in an everyday situation and to select the described situation he/she most likely has experienced by pressing one out of four buttons.

In an additional control condition scrambled images of the facial expressions were shown for 1400 ms, followed after a jittered time interval by three unrelated sentences (e.g. "The door is open.") and the target sentence "Press the button" in order to control for reading, motor and memory related activity. The paradigm consisted of 192 experimental condition trials with 48 repetitions of each facial expression and 48 control condition trials.

### Procedure

Upon arrival, all participants were informed about the study and gave informed written consent to participate. Prior to scanning, they completed screening tests and questionnaires to check for the following exclusion criteria: signs of depression [25], impaired face recognition [24], a history of major mental illness, intake of psychotropic medication, and contraindications of scanning such as irremovable metals or implants, claustrophobia, visual disturbances not corrigible by MRI compatible glasses or pregnancy. Scanning itself was preceded by a training session with different emotional states in

the scanner to familiarize the subjects with the experimental set-up. It was followed by behavioral testing assessing self-reported empathy [31], alexithymia [26], facial affect recognition (DCERT) and mind reading from photographs depicting only pairs of eyes (Eyes Test) [2]. The study was approved by the Ethics Committee of the Heinrich-Heine University Düsseldorf and was conducted according to the Declaration of Helsinki.

### Imaging

Scanning was performed on a 3 T Siemens Trio TIM MRI scanner (Erlangen, Germany) using an EPI-GE sequence (TR = 2000 ms, TE = 30 ms, flip-angle = 90°, FOV = 192 x 192 x 112 mm<sup>3</sup>, acquisition matrix = 128 x 128 pixels). The whole brain was covered by 28 transversal slices oriented parallel to the bi-commissural plane (in-plane resolution = 1.5 mm x 1.5 mm, slice thickness = 4.0 mm, interslice gap = 0 mm, FOV = 256 x 256 x 192 mm<sup>3</sup>, acquisition matrix = 256 x 256 pixels). In each run, 1200 volumes were acquired. A 3D-T1-weighted MP-RAGE (magnetization prepared gradient echo) sequence (TR = 2300 ms, TE = 2.98 ms, flip angle = 90°) with high resolution consisting of 192 sagittal slices (in-plane resolution = 1 mm x 1 mm, slice thickness = 1 mm, interslice gap = 0 mm) was also acquired in each subject.

### Data analysis

Behavioral data were analyzed using SPSS software (PASW, Predictive Analysis Software, version 20). Prior to analysis, all data were tested for normal distribution using Kolmogorov-Smirnov test. For comparison of means, single factor analyses of variance (ANOVA) were used. Correlation analyses were performed using Spearman coefficients. Imaging data were analyzed using the Brainvoyager QX software package (Brain Innovation, Maastricht, The Netherlands). In each subject, the 2-D slice time-course image data were co-registered with the volumetric 3-D Gradient Echo data sets from the same session. Functional images were spatially normalized and realigned to correct for head movements between scans. Preprocessing of the fMRI data included Gaussian spatial smoothing (FWHM = 6 mm) and temporal filtering as well as the removal of linear trends. Blood oxygenation level dependent (BOLD) changes were analyzed in a rapid event-related model using a random effects group analysis based on a deconvolution general linear model (GLM). The following regressors were used to contrast conditions: *baseline* (scrambled facial expressions), *face* (face expressions with high and low self-relevance), *reasoning* (subdivided into correct and false responses regarding highly and less relevant emotional states), and *control* (for motor and reading related activity). A threshold of  $p < 0.005$  (uncorrected) combined with a dynamic cluster threshold calculated using the cluster threshold estimator plugin for Brainvoyager QX ([http://www.brainvoyager.com/downloads/plugins\\_win/plugins\\_win.html](http://www.brainvoyager.com/downloads/plugins_win/plugins_win.html)) was applied to all data. For mapping the brain activation patterns related to the event *decision*, only correct answers were taken into consideration. Coordinates of the activation areas are given in Talairach space [32].

### Results

The older adults performed as well as the young adults on the Eyes Test [2] ( $F = 2.39$ , n. sig.). Similarly, they performed equally well on the control condition (accuracy:  $F = 1.20$ , n.sig.; latency:  $F = 0.15$ , n.

sig.) indicating that both groups were able to use the response buttons correctly. In contrast, the older subjects performed significantly worse than the young adults in the empathic reasoning paradigm ( $F = 6.33, p = 0.019$ ). The differences in reasoning accuracy were significant for high impact expressions ( $F = 7.80, p = 0.01$ ) but only a trend was observed for low impact expressions ( $F = 4.10, p = 0.054$ ). There were no differences between the older and young adults in total response latency or the response latency for emotional states of high impact expressions (total:  $F = 0.00, n.sig.$ ; high relevance:  $F = 1.50, n. sig.$ ). However, the young adults responded faster when reasoning about low impact expressions was required ( $F = 5.32, p = 0.03$ ). Their response latency was as fast as in the easier control condition, while young adults responded significantly faster in the control condition compared to the more difficult reasoning task ( $p = 0.002$ ).

Overall, empathic reasoning performance, especially based on facial expressions associated with a high degree of social impact, correlated with years of education (Total empathic reasoning:  $r = 0.46, p = 0.019$ ; high impact empathic reasoning:  $r = 0.50, p = 0.009$ ) but inversely with age (total empathic reasoning:  $r = -0.38, p = 0.052$ ; high impact empathic reasoning:  $r = -0.43, p = 0.030$ ). Additional multiple regression analysis using age ( $\beta = -0.41, p = 0.021$ ) and education ( $\beta = 0.42, p = 0.021$ ) as predictors explained 34 % of the variance in empathic reasoning performance ( $F = 5.89, p = 0.009, r^2 = 0.34, corrected r^2 = 0.28$ ).

Further, total empathic reasoning performance correlated with facial affect recognition ability in the young adults ( $r = 0.63, p = 0.015$ ), and self-reported empathy ( $r = 0.62, p = 0.033$ ) in the older adults, while performance on the Eye's Test did neither correlate with emotional competence/alexithymia, self-reported empathy or facial affect recognition ability in the young or older adults.

From a neural perspective, we were interested in mapping the brain activation patterns when the subjects were confronted with the emotional expressions that had to be empathically evaluated, and during emotional state reasoning when the subjects chose one of the given situations. During reasoning we mapped the brain regions separately for correct and incorrect conclusions, since we expected invalid conclusions to go along with stronger activations due to higher perceived difficulty and involvement of more cognitive resources. Specifically, we aimed at discovering differences in brain activation between young and older adults.

Viewing the facial expressions of high and low social impact as contrasted with the scrambled faces led to stronger activations only in the older adults (Figure 1). These included the anterior prefrontal cortex, dorsolateral and superior dorsomedial frontal cortex, inferior frontal cortex and anterior insula, temporal cortex, the temporo-parietal junction, pre- and postcentral gyri, and the inferior parietal lobule (Table 1, Figure S1). We defined regions of interest and calculated correlation coefficients between their mean  $\beta$ -value representing mean percent signal change and our behavioral data (Table S1). Activation in the left superior aPFC (-22/64/24) during viewing low impact expressions correlated inversely with performance on the empathic reasoning task in the older adults ( $p < 0.01$ ). Activation of the left IPL (-52/-26/33) during confrontation with expressions of low social impact correlated inversely with total facial affect recognition abilities in the older adults ( $p < 0.05$ ).

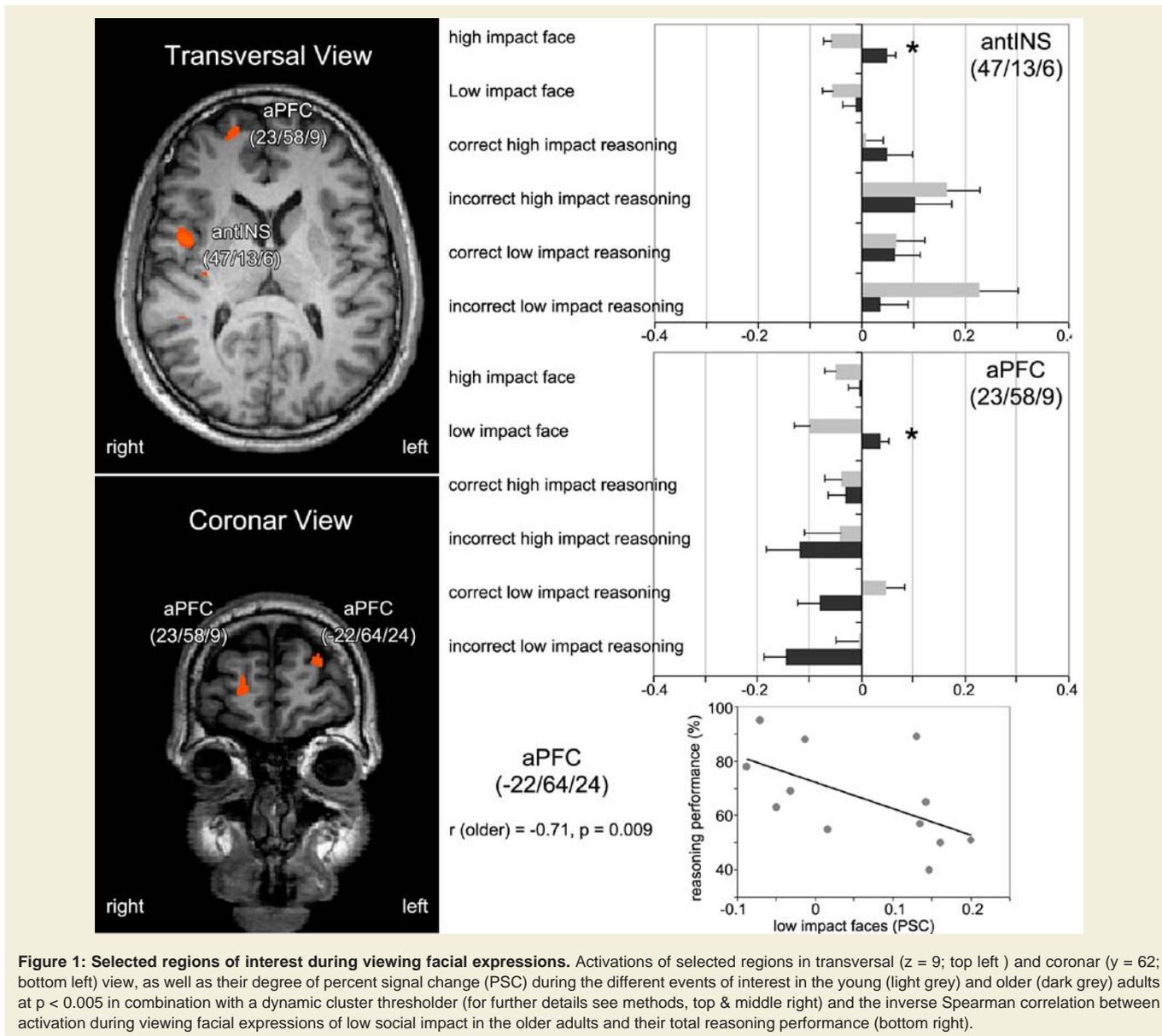
Correct reasoning about high impact emotional states led to a greater activation in the right middle occipital gyrus in the young relative to older adults, and an activation increase in the left middle temporal gyrus in the older relative to the young adults. Correct reasoning about emotional states of low impact was associated with stronger activations in the left aPFC, the left premotor cortex, and the right posterior cingulate cortex in the young relative to the older adults (Table 2). The older adults showed stronger activation in the right transverse temporal gyrus compared to the young adults. Differences in the brain activations evoked during incorrect reasoning about high and low impact emotional states were only present in favor of the young adults as compared to the older adults (Figure 2). They comprised the aPFC, premotor cortex, ACC, IFG and the putamen (Table 3, Figure S1). Regions of interest during reasoning showed several correlations (Table S2). Activation of the right medial aPFC (32/52/18) activated during reasoning about less relevant emotional states correlated inversely with the older adults' performance on the Eyes Test ( $p < 0.05$ ). The less alexithymic the young adults were, the stronger was the activation in its left homologue (-25/55/18) during reasoning about low impact expressions ( $p < 0.05$ ). Activation of a more inferior left aPFC region (-18/61/3) during reasoning about high impact emotional states correlated inversely with facial affect recognition abilities in the young and older adults ( $p < 0.05$ ). Activation of the left IFG (-28/22/-15) during reasoning about less relevant expressions correlated with the degree of alexithymia in young adults ( $p < 0.05$ ) and inversely with self-reported empathy and facial affect recognition in the older adults ( $p < 0.05$ ). The better the older adults performed in the Eyes Test, the less activated was the right SDMFC during reasoning about low impact expressions ( $p < 0.05$ ). In the young adults, activation of a right ACC cluster (5/19/39) that became active during reasoning about high impact emotional states correlated with reasoning performance ( $p < 0.01$ ; Figure 2) and facial affect recognition abilities in the young adults ( $p < 0.05$ ) but was inversely correlated with self-reported empathy in the older adults ( $p < 0.05$ , Figure 2). Activation of its left homologue (-4/16/39) also correlated with reasoning performance in the young adults ( $p < 0.01$ , Figure 2). In addition, the less alexithymic they were, the stronger was its activation ( $p < 0.05$ , Figure 2).

We additionally performed a conjunction analysis in order to identify regions the young and older adults shared during viewing the facial expressions and during reasoning itself. No shared regions were identified in relation to viewing the facial expressions. However, the young and older adults shared activation in the left DLFC during correct reasoning about high impact expressions, and in the left IFG during incorrect reasoning about high impact expressions. No common regions were found during correct or incorrect reasoning about low impact emotional states.

## Discussion

The novel finding of this combined behavioral and fMRI study was that older adults who showed impairments in empathic reasoning accuracy compared to young adults recruited similar empathy, ToM, and cognitive control related brain regions, but that there were significant differences in the neural time courses when these regions became engaged during the task.

Our results support the notion of a decrease of affective theory of

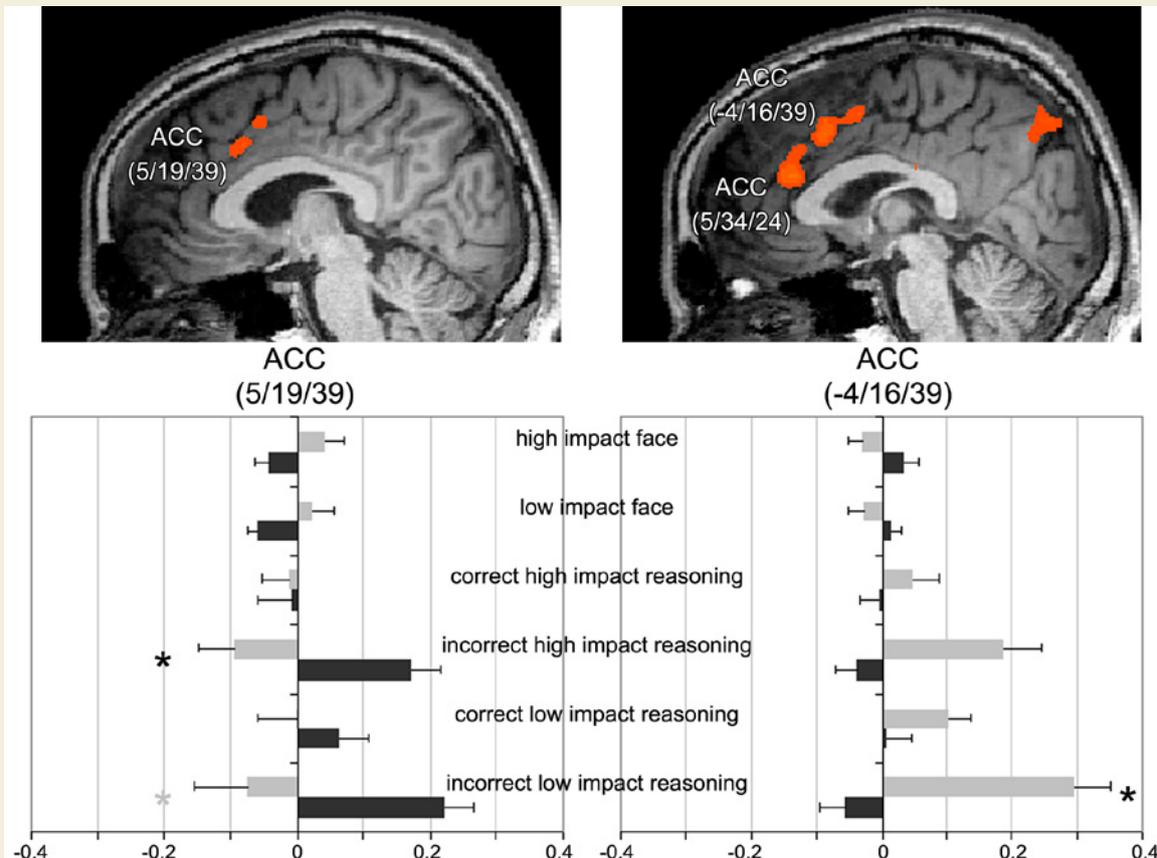


**Figure 1: Selected regions of interest during viewing facial expressions.** Activations of selected regions in transversal ( $z = 9$ ; top left) and coronar ( $y = 62$ ; bottom left) view, as well as their degree of percent signal change (PSC) during the different events of interest in the young (light grey) and older (dark grey) adults at  $p < 0.005$  in combination with a dynamic cluster threshold (for further details see methods, top & middle right) and the inverse Spearman correlation between activation during viewing facial expressions of low social impact in the older adults and their total reasoning performance (bottom right).

mind in higher adulthood [5-7]. This deficit was related to the complex emotional state reasoning task but was absent when the conditions were less multifaceted in the Eyes Test. Furthermore, self-reported empathy, general emotional competence and explicit facial affect recognition ability were unaffected by the deficit. However, empathic reasoning performance correlated with facial affect recognition ability in young adults, and with self-reported empathy in older adults. Our results exceed those of others [10] showing that the older adults' impairment in emotional state reasoning accuracy affected both, high impact emotional states that were considered highly relevant for the observer, and by trend also low impact emotional states associated with less relevance for the observer. Notably, older adults responded significantly faster than young adults when inference of a low impact emotional state was required. Their response latency was similar to that during the much easier control task, suggesting a lower motivation to reason about the cause of emotional states of low social impact.

Owing to the assumption of stronger reliance on cognitive resources, we expected older adults to exhibit stronger activations in bottom-up modulated areas related to a basal empathic response, and in top-down modulated higher-order prefrontal areas associated with ToM. Indeed, older adults showed more pronounced activity in mirror neuron associated regions such as the IFG and IPL [13,15,16,33,34], empathy-related areas such as the anterior insula [14,15,35], higher order areas of cognitive control [21], decision-making [15,36,37] and ToM [16,21,38,39] during viewing facial expressions of either high or low social impact. During reasoning about these emotional states we observed stronger activations in a similar but higher-order area dominated network in young adults as compared to older adults.

The percent signal change based parameter estimates ( $\beta$ ) showed that in young adults, higher order control areas and areas associated with ToM became downregulated early during viewing the facial expressions, but upregulated in older adults. During



**Figure 2: Selected regions of interest during reasoning.** Activations of selected regions in sagittal view (x = 4 top left; x = 2 top right), as well as their degree of percent signal change (PSC) during the different events of interest in the young (light grey) and older (dark grey) adults at p < 0.005 in combination with a dynamic cluster threshold (for further details see methods, middle left & right).

**Table 1: Brain activation patterns related to facial expressions: Older adults > Younger adults.**

Hemisphere / Region	Label	BA	Talairach Coordinates			t values	
			x	y	z	Highly relevant	Less relevant
R Superior frontal gyrus	aPFC	10	26	61	0	3.99	n. sig.
R Superior frontal gyrus	aPFC	10	23	58	9	n. sig.	3.56
L Middle frontal gyrus	aPFC	10	-22	64	24	n. sig.	4.35
L Middle frontal gyrus		11	-37	37	-9	5.02	n. sig.
L Inferior frontal gyrus	DLFC	9	-55	4	27	n. sig.	3.55
R Medial frontal gyrus	SDMFC	8	2	28	39	n. sig.	4.07
L Middle frontal gyrus		8	-25	10	36	n. sig.	3.86
L Middle frontal gyrus	DLFC	46	-46	19	21	3.71	n. sig.
R Anterior insula	antINS	13	47	13	6	5.54	n. sig.
L Claustrum			-31	13	6	n. sig.	3.69
R Posterior insula		13	35	-26	3	n. sig.	4.51
R Inferior frontal gyrus	IFG	47	32	19	-15	3.98	n. sig.
R Precentral gyrus		6	47	1	9	n. sig.	4.08
L Precentral gyrus		6	-40	-8	57	3.64	n. sig.
R Postcentral gyrus		43	69	-17	21	n. sig.	4.68
L Postcentral gyrus		2	-58	-20	30	n. sig.	4.08
L Superior temporal gyrus		38	-40	19	-12	5.06	n. sig.
L Middle temporal gyrus		38	-46	4	-16	3.96	n. sig.
L Superior temporal gyrus		22	-52	10	0	5.16	n. sig.
L Superior temporal gyrus		22	-52	-14	-3	4.72	n. sig.
L Superior temporal gyrus		42	-61	-29	6	4.68	n. sig.
R Middle temporal gyrus		39	59	-59	12	3.65	n. sig.
R Superior temporal gyrus	TPJ	39	50	-41	12	n. sig.	3.51
L Inferior parietal lobule	IPL	40	-52	-26	33	n. sig.	3.88

Note: R: Right; L: Left; aPFC: Anterior Prefrontal Cortex; DLFC: Dorsolateral Frontal Cortex, SDMFC: Superior Dorsomedial Frontal Cortex; antINS: anterior Insula; IFG: Inferior Frontal Gyrus; TPJ: Temporo-Parietal Junction; IPL: Inferior Parietal Lobule; BA: Brodmann area

**Table 2:** Brain activation patterns related to correct reasoning: Young adults > Older adults.

Hemisphere / Region	Label	BA	Talairach Coordinates			t values	
			x	y	z	Highly relevant	Less relevant
L Middle frontal gyrus	aPFC	10	-25	55	18	n. sig.	4.63
L Precentral gyrus		6	-49	1	30	n. sig.	4.13
R Posterior cingulate cortex		23	2	-20	27	n. sig.	4.30
R Middle occipital gyrus		39	-43	-62	21	3.78	n. sig.

Note: R: Right; L: Left; aPFC: Anterior Prefrontal Cortex; DLFC: Dorsolateral Frontal Cortex, SDMFC: Superior Dorsomedial Frontal Cortex; antINS: anterior Insula; IFG: Inferior Frontal Gyrus; TPJ: Temporo-Parietal Junction; IPL: Inferior Parietal Lobule; BA: Brodmann area; regions defined as regions of interest are highlighted

**Table 3:** Brain activation patterns related to incorrect reasoning: Young adults > Older adults.

Hemisphere / Region	Label	BA	Talairach Coordinates			t values	
			x	y	z	Highly relevant	Less relevant
R Superior frontal gyrus	aPFC	10	32	52	18	n. sig.	4.79
L Middle frontal gyrus	aPFC	10	-25	55	18	n. sig.	4.29
L Medial frontal gyrus	aPFC	10	-18	61	3	4.54	n. sig.
R Superior frontal gyrus		6	5	13	48	3.57	n. sig.
L Middle frontal gyrus		6	-28	8	48	n. sig.	3.77
R Anterior cingulate cortex	ACC	32	5	19	39	3.84	n. sig.
R Anterior cingulate cortex	ACC	32	5	34	24	n. sig.	4.63
R Anterior cingulate cortex	ACC	32	20	31	18	n. sig.	3.71
L Anterior cingulate cortex	ACC	32	-4	16	39	n. sig.	4.44
L Inferior frontal gyrus	IFG	47	-28	22	-15	4.33	n. sig.
R Nucleus lentiformis			14	-2	3	n. sig.	4.58
L Cuneus			-10	-80	58	n. sig.	4.58

Note: R: Right; L: Left; aPFC: Anterior Prefrontal Cortex; ACC: Anterior Cingulate Cortex; IFG: Inferior Frontal Gyrus; BA: Brodmann area; regions defined as regions of interest are highlighted

subsequent reasoning about the facial expressions, the opposite pattern with upregulated aPFC and ACC regions in young adults and downregulated aPFC and ACC in older adults was found. Correlation analyses showed that activation within different clusters of the aPFC was associated with low empathic reasoning performance, low performance on the Eyes Test and low explicit facial affect recognition ability in older adults but activation in left aPFC went along with high general emotional competence in young adults. These regions have been previously implicated in subordinate processes such as attention, working-memory and multitasking and ToM [21]. In addition, there is evidence from transcranial stimulation experiments suggesting that the left lateral aPFC is responsible for inhibiting regions relevant for automatic emotional processing and activating regions necessary for rule-driven behavior during reaction towards emotional facial expressions [40]. Similar results were found for the left and right ACC and SDMFC, areas which have been shown crucial for empathic valuation [15,41], social perception [39,42] and ToM [11,14,16]. While activation in this ToM-associated part of the brain was associated with good empathic reasoning performance, high facial affect recognition ability and a high degree of emotional competence in young adults, inverse correlations between activation of the ACC and performance on the Eyes Test and self-reported empathy were found in older adults.

These results suggest different mechanisms or strategies of dealing with the task in older as compared to young adults. Higher order control and ToM areas that became engaged early during confrontation with facial expression of emotion in older adults bound cognitive resources with the consequence that these resources were not been available during subsequent emotional state reasoning. Alternatively, early engagement of the aPFC during confrontation

with the facial expressions as the basis for subsequent reasoning might have downregulated areas important for automatic emotional appraisal while upregulating higher order areas subserving ToM too early to ensure a goal-directed response [40]. Young adults, on the contrary, did not show any early aPFC or SDMFC engagement when pre-evaluating the facial expressions. The different timing of activation of regions associated with basal empathy [14,20], ToM [14], and cognitive control [21] might have led to a more efficient processing of the reasoning task in young adults, as reflected by the behavioral data.

Besides age-related differences in cerebral processing, we expected young and older adults to share important nodes within the empathy network. In fact, young and older adults recruited similar regions within the inferior frontal cortex (BA 47), anterior insula, ACC, premotor cortex, and IPL, even though there were striking differences in timing. Statistically, the left IFG (BA 45) corresponding roughly to Broca's area [43] was the only region young and older adults shared during reasoning most likely related to covert speech during sentence reading.

In the present study, we were able to show that the differences between young and older adults were not based on the recruitment of completely different brain areas but that similar areas became engaged at different time points during the empathic reasoning paradigm. In future studies it would therefore be promising to combine a comparable design with electroencephalography in order to get insights in the temporal order of the cerebral processes during reasoning about emotional states.

The current study has limitations which should not go unmentioned. First, the sample sizes of the current study are

relatively small. Compared to pure behavioral studies, fMRI studies are typically based on smaller samples due to more strict inclusion criteria and higher drop-out rates (e.g. movement artefacts during fMRI scanning). To compensate for the small sample size, we used a high number of repetitions per condition in order to ensure sufficient experimental power of the fMRI data. Second, in accordance with most other studies, we compared two age groups, namely young adults and a sample of older adults. Instead of two groups it would have been interesting to form three age groups in order to compare performance and brain activation patterns between young (20-38), middle-aged (40-59) and old adults (> 61 years) [44,45]. However, people of old age are not only difficult to recruit for brain imaging studies due to the strict inclusion criteria (e.g. no metals like pacemakers or other implants), they also are at risk to exhibit disease-related brain changes rather than purely age-related abnormalities which easily lead to confounding results. For this reason, we did not recruit old people for the current study. Our results can, therefore, only be generalized for young and older, e.g. equivalent to middle aged, adults.

Taken together we provide novel data that shed light on the underlying mechanisms explaining the differences in emotional state reasoning between young and older adults. Like young adults, older adults invested a reasonable amount of time into the inference of emotional states of high social impact, but appeared to hastily respond to low impact emotional states. This probably reflected an inefficient strategy to use cognitive resources. While basal empathic and higher order control and ToM areas became engaged early in older adults, young adults recruited a higher order dominated network at a later time point during the reasoning paradigm. We conclude that binding of cognitive resources necessary for reasoning processes and aPFC mediated too early engagement of higher order ToM areas might explain the reduced efficiency and accuracy of older adults.

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